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Attorney Docket No. 09792909-5359

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: ) Group Art Unit: 2811  
Tsutomu TANAKA ) Examiner: Thien F. Tran  
Application No. 10/051,267 )  
Filed: January 18, 2002 )  
For: Thin-Film Transistor and Method for )  
Making the Same )

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**ATTENTION: GROUP DIRECTOR**

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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT AFTER  
MAILING DATE OF FINAL ACTION BUT BEFORE PAYMENT OF ISSUE FEE (37  
C.F.R. § 1.97(d))**

**TIME OF TRANSMITTAL OF ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

The information disclosure statement transmitted herewith is being filed *after* a final action under Section 1.113. The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. § 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

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Transmittal of Information Disclosure Statement  
Page 2

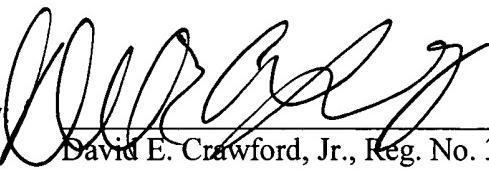
Pursuant to 37 C.F.R. § 1.97(e)(2), no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and to the knowledge of the person signing the statement after making reasonable inquiry, was known to any individual designated in Section 1.56(c) more than three months prior to the filing of the information disclosure statement.

Applicants hereby petition for the consideration of the accompanying information disclosure statement. 37 C.F.R. § 1.97(d)(2).

Applicants submit herewith a check in the amount of \$180.00 for the petition fee set forth in 37 C.F.R. § 1.17(p).

The Commissioner is hereby authorized to credit overpayments or to charge any deficiency in a required fee to Deposit Account No. 19-3140. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

By   
\_\_\_\_\_  
David E. Crawford, Jr., Reg. No. 38,118  
Customer No. 26263  
314.259.5810

Dated: October 20 , 2003

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Substitute for form 1449A/PTO				<b>Complete if Known</b>	
				Application Number	10/051,267
				Filing Date	January 18, 2002
				First Named Inventor	Tsutomu Tanaka
				Group Art Unit	2811
				Examiner Name	Thien F. Tran
Sheet	1	of	2	Attorney Docket Number	09792909-5359

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Number Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	3	JP 8-279618	10-22-1996		<input type="checkbox"/>
	4	JP 10-189252	07-21-1998		<input type="checkbox"/>
	5	JP 11-251069	09-17-1999		<input type="checkbox"/>

Examiner Signature	Date Considered
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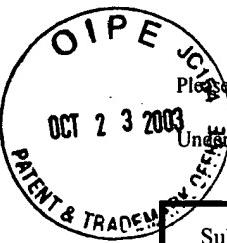
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 2 of 2

**Complete if Known**

<b>Application Number</b>	10/051,267
<b>Filing Date</b>	January 18, 2002
<b>First Named Inventor</b>	Tsutomu Tanaka
<b>Group Art Unit</b>	2811
<b>Examiner Name</b>	Thien F. Tran
<b>Attorney Docket Number</b>	09792909-5359

**OTHER ITEMS – NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	6	Modern Liquid Crystal Process Technology '99, Press Journal, 1998, pp. 53-59.	
	7	Flat Panel Display 1999, Nikkei BP, pp. 132-139.	

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